



## Audit and Governance Committee

<b>Date:</b>	23 November 2022
<b>Reference number:</b>	N/A
<b>Title:</b>	<b>Risk Management Group Update</b>
<b>Cabinet Member(s):</b>	N/A
<b>Contact officer:</b>	Maggie Gibb, Head of Business Assurance (& Chief Internal Auditor)
<b>Ward(s) affected:</b>	N/A
<b>Recommendations:</b>	Members are recommended to <b>note</b> the report.

### 1. Executive summary

- 1.1 The purpose of the report is to provide an update on the Risk Management Group (RMG) meeting held on 7 November 2022.
- 1.2 The Council's Risk Management Framework including the Terms of Reference for the Risk Management Group was approved by the Audit and Governance Committee in June 2021.

### 2. Content of report

- 2.1 The Corporate Director for Adults and Health attended the meeting along with the Business Manager and Finance Business Partner.
- 2.2 The Adults and Health directorate has a well-established Risk Escalation Framework, with risk being a standing item at the senior leadership team meetings. All Risk Escalation Frameworks are due for review and update during Q3 of 2022/23 to ensure they remain fit for purpose.

2.3 The key risk themes were as follows:

- **Safeguarding:** Capacity to meet increased demand, particularly resulting from Covid lockdown.
- **Impact of national legislative change:**
  - Implementation of the social care reforms – which include a lifetime cap on the amount anyone will need to spend on their personal care; a more generous means-test for local authority financial support; and measures to stabilise social care market provision.
  - The introduction of the Liberty Protection Safeguards – a new procedure for when it is necessary to deprive someone of their liberty and the person lacks the mental capacity to consent. This replaces the current Deprivation of Liberty Safeguards (DoLS) procedure.
  - Inspection by the CQC of local authority social care provision.
- **Covid and health inequalities.**
- **Care market:** Maintaining diverse, stable care provider provision of good quality – for both council funded residents and self-funders.
- **Transformation:** Continued embedding of the strengths-based approach to practice and the case management system replacement; impact of demand pressures on delivery second phase of the transformation programme and other change.
- **Workforce recruitment and retention** and capacity to manage the vast amount of transformation work on top of pressurised day jobs.
- **Finances:** Reducing resources and managing demand; rising costs of care; financial impact of social care reforms.
- **Various White Papers on the integration of Health and Care** plus establishment of Integrated Care Boards.

2.4 The risks were discussed in detail, as well as the mitigating actions being challenged by the members.

2.5 Risks which had been de-escalated or closed were included in the report from the Adults and Health directorate.

2.6 The Corporate Director for Communities and the Service Director for Neighbourhood Services attended the meeting to provide the Risk Management Group with a detailed update on the review undertaken into the issues experienced following the southern waste round re-organisation.

2.7 An independent review had been carried out by Mazars, and members were given the opportunity to ask questions of the Associate Director, Christian Fell.

2.8 It was agreed that an update on progress against actions would be presented to the Risk Management Group in March 2023.

### 3. Other options considered

3.1 This should include information on the pros and cons of each option.



**4. Legal and financial implications**

4.1 None.

**5. Corporate implications**

None

**6. Local councillors & community boards consultation & views**

6.1 N/A.

**7. Communication, engagement & further consultation**

7.1 N/A.

**8. Next steps and review**

8.1 The next meeting of the Risk Management Group is to be held on 16 December 2022.

**9. Background papers**

None

